

**AGENDA ITEM NO: 9**

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**PCC RISK REGISTER**

**1. Purpose of Report**

- 1.1 To update the Police and Crime Panel on the PCC Risk Register.

**2. Background and Main Considerations**

- 2.1 The risk register is now aligned to the lifespan of the Police and Crime Plan (2017-2021) and has been reviewed and updated as at 13<sup>th</sup> February 2018.
- 2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus be removed from the register.
- 2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation rather than being a record of all possible risks.
- 2.4 The register is reviewed monthly on an informal basis by the Deputy Chief Executive and formally by the Commissioner’s Monitoring Board (CMB) on a quarterly basis, prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.
- 2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.
- 2.6 The risk appetite remains at 30.
- 2.7 The PCC Risk Register is attached at Appendix A.
- 2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets for identifying risks as high, moderate, acceptable, or minor.

**3. Key Risks to Consider – Inherent**

- 3.1 The register identifies ten inherent risks, seven are considered as either minor or acceptable, two are considered moderate, and one is considered high.
- 3.2 Details on the three risks considered moderate or high are:
- *Risk 16: Partners make decisions that impact upon the PCC and Police without discussion or involvement in decision making process (**moderate** risk)*  
The OPCC is awaiting details of the 2018-19 budget for both local authorities although at the time of writing this report the Commissioner has not been notified of any services to be cut which would impact upon policing or the delivery of his Police and Crime Plan. Scoring for this risk is maintained.

- *Risk I8: ICT services with Wiltshire Council are not resilient and transformational to support effective and efficient policing (**high risk**)*  
ICT compliance has been identified as a major risk resulting in a score increase and the risk moving from a moderate to a high risk. Various controls have been put in place including weekly meetings between the Chief Finance Officer and Wiltshire Council, and the reallocation of resources to manage compliance with updates presented on a frequent basis to national accreditors. The matter will be escalated to Gold Command if it is not resolved by the beginning of March.
- *Risk I9: Police collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits (**moderate risk**)*  
A number of changes in senior posts have taken place both within the region and locally. A review is taking place of the employment model and the management framework for all collaborations. The likelihood for this risk has increased, increasing the overall score from 18 to 27 although the risk still remains moderate. A full review of the risk will take place following the strategic seminar due mid-March which will review the way forward for the region.

#### 4. Key Risks to Consider – Topical

- 4.1 The register identifies six topical risks, three are considered moderate and one is considered high. Details on three of these four risks are provided in Paragraph 4.3 below.
- 4.2 Two new topical risks have been identified, one of which is considered a moderate risk. One risk has been removed from the register. Further details are given in Paragraph 5.
- 4.3 Details on the three risks considered moderate or high are:
- *Risk T1: Review of funding formula does not provide additional resources or reduces resources to Wiltshire (**moderate risk**)*  
The settlement announced prior to Christmas was better than anticipated and as a result of this, Police and Crime Commissioners were able to increase the police precept by a maximum of £12 per annum for a Band D property. Police and Crime Commissioners will be able to increase the police precept by the same amount for 2019-20. This removes the previously set capping limit of 1.9%. The overall score for this risk has been reduced from 36 to 24, moving the risk from high to a moderate risk.
  - *Risk T3: Delayed implementation of new communication system as a result of national changeover from Airwave Tetra to new 4G based ESN (**high risk**)*  
This risk continues to be one of the highest scoring risks on the risk register (along with Risk I8). An update on the national project was provided to Police and Crime Commissioners at their national meeting in January. A refreshed business case, budget, and timeline are to be produced although these are not expected until later in the year. Regionally, a stop has been put on further recruitment to the regional team in order to limit costs and funds are no longer being rolled forward. The Commissioner has limited control over this matter as it is a national issue.
  - *Risk T4: Wiltshire Police fail to have operational and organisational policies that meet the duties and aims under the Equality Act 2010 (**moderate risk**)*  
The Commissioner receives monthly briefings on delivery against the Force improvement plan from the lead officer. A review was due to be carried out by Internal Audit in October 2017 but this has been delayed due to sickness. The

draft Terms of Reference have now been received by the Force and are to be agreed. A full review of this risk will be undertaken following receipt of the final report.

## **5. New / Removed Risks**

5.1 Two new risks have been identified and further information on these is provided below:

- *Risk T5: Failure to recruit a Chief Constable that matches the ambitions of the PCC (**acceptable** risk)*

Following the departure of the previous Chief Constable the Commissioner has appointed Kier Pritchard as Temporary Chief Constable for 12 months with effect from 5<sup>th</sup> March 2018. The appointment is from within the existing Senior Command Team and will ensure stability and that the course of progress is maintained. At this time this is considered to be an acceptable risk but will be kept under review.

- *Risk T6: Unable to continue to meet demands of frontline policing (**moderate** risk)*

This risk in effect replaces Risk T2 which is to be removed from the register (see Paragraph 5.2) and expands on the risk associated with the Community Policing model to include the whole of frontline policing. This is currently considered a moderate risk.

5.2 One risk has been removed from the register:

- *Risk T2: Community Policing model does not deliver anticipated benefits*

Following the quarterly review of the risk register, it was felt that this risk had evolved and was wider than the Community Policing model, now encompassing frontline policing. It was therefore agreed that this risk would be removed and replaced by a new risk (Risk T6) (see Paragraph 5.1 above).

## **6. Future Reviews of Risk Register**

6.1 Any amendments required following this meeting will be made at the monthly informal review by the Deputy Chief Executive.

**Naji Darwish**  
**OPCC Deputy Chief Executive**

ACRONYM	MEANING
BAU	Business As Usual
BIT	Business Intelligence Team
BUSS	Best Use of Stop and Search
CC	Chief Constable
CFO	Chief Finance Officer
CJS	Criminal Justice System
CMB	Commissioner's Monitoring Board
CPT	Community Policing Team
D&C	Devon and Cornwall
DA	Domestic Abuse
ESN	Emergency Services Network
HMIC	Her Majesty's Inspectorate of Constabulary
HO	Home Office
HR	Human Resources
ICT	Information Communication Technology
IPCC	Independent Police Complaints Commission
IT	Information Technology
JSA	Joint Strategic Assessment
JSNA	Joint Strategic Needs Assessment
LA / LAs	Local Authority / Local Authority's
MOJ	Ministry of Justice
MPs	Members of Parliament
MTFS	Medium Term Financial Strategy
NWOW	New Ways of Working
OPCC	Office of Police and Crime Commissioner
P&C Plan	Police and Crime Plan
Panel	Police and Crime Panel
PCC	Police and Crime Commissioner
PCP	Police and Crime Panel
PEEL	Police Effectiveness, Efficiency and Legitimacy Programme
PID	Project Initiation Document
PSQB	Public Service and Quality Board
RJ	Restorative Justice
S&S	Stop and Search
SA	Sexual Abuse
SCT	Senior Command Team
T/CC	Temporary Chief Constable
WC	Wiltshire Council
WCJB	Wiltshire Criminal Justice Board
YOT	Youth Offending Team

**RISK KEY**

risk score 30+	High risk
risk score 18-29	Moderate risk
risk score 8-17	Minor risk
risk score 1-7	Acceptable risk